

## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Ritu Chauhan, Compliance Officer of the company, have examined the following compliance requirement of **F Mec International Financial Services Limited** and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	The Company is presently maintaining the SDD in Excel Format and is in the process to purchase the software for maintaining a Structured Digital Database.
2.	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	Yes	Yes, Control is existing as to who can access the SDD along with their name and PAN.
3.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	Yes	Yes, all the UPSI have been captured in excel format.
4.	Whether the recipient was upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	Yes, recipient was upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the excel format.
5.	Whether nature of UPSI have been captured along with date and time?	Yes	Yes, the nature of UPSI have been captured along with date and time.
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	Yes, the name of persons who have shared the information has been captured along with PAN.
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	Yes, the name of persons with whom information is shared has been captured along with PAN.
8.	Whether the database has been maintained internally?	Yes	Yes, the database has been maintained internally.
9.	Whether audit trail is maintained?	Yes	Yes, the audit trail is maintained.
10.	Whether time stamping is maintained?	No	As the information is maintained in excel format, so time stamping is not feasible.
11.	Whether the database is non tamperable?	Yes	Yes, the database is non-tamperable.
12.	Any other measures to ensure non-tamper ability of the Database?	No	-

**Note: The information of the audit should cover the period when such information was inserted in the SDD up to the date of disclosure.**

The number of days for which non-compliance was observed

Further I also confirm that the Company was required to capture **four** number of events during the quarter/half year ended and has captured **four** number of the said required events.

For and on behalf of  
**F Mec International Financial Services Limited**



**(Ritu Chauhan)**  
Compliance Officer

Date: 09-08-2022  
Place: New Delhi